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Attorney Docket No. NEKU 19.296(100806-00072)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Mikio ODA, et al.

Serial No.:

10/028,238

Filed:

December 21, 2001

Title:

OPTICAL PATH CONTROL APPARATUS...

Examiner:

Jessica T. Stultz

Art Unit:

2873

June 5, 2003

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of documents listed thereon. These documents contain information in which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this statement is being filed prior to issuance of a final Office Action, a fee of \$180.00 accompanies this document in accordance with 37CFR §§1.97(c) and 1.17(p).

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The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

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Respectfully submi

Michael I. Markowitz Reg. No. 30,659

Katten Muchin Zavis Rosenman 575 MADISON AVENUE NEW YORK, NEW YORK 10022-2585 (212) 940-8800 DOCKET NO. 100806-00072(NEKU 19.296)

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NFORMATION DISCLOSURE

Sheet 1 of 1

Application No.	: 10/028,238
Filing Date	: December 21, 2001
First Named Inven	tor: M. ODA
Group Art Unit	: 2873
Examiner Name	: J.T. STULTZ
Attorney Docket N	lo : NEKU 19 296

			U.S. PATEN	T DOCUMENTS		
Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
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Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

Other Prior Art-Non Patent Literature Documents

Cite No.	appropriate), title of the item (book, magazine, i	Applicant check her if English language translation attached		
	"OPTICAL MEMS" FUJITA, et al., IEICE TRA SEPTEMBER 2000, pp. 1427-1434			
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		Date Considered		
Ī		appropriate), title of the item (book, magazine, j data, page(s), volume-issue number(s), publishe "OPTICAL MEMS" FUJITA, et al., IEICE TRA	appropriate), title of the item (book, magazine, journal, serial, sympodata, page(s), volume-issue number(s), publisher, country, where pul "OPTICAL MEMS" FUJITA, et al., IEICE TRANS. ELECTRON, V SEPTEMBER 2000, pp. 1427-1434 Date	appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source. "OPTICAL MEMS" FUJITA, et al., IEICE TRANS. ELECTRON, VOL. E83-C, NO. 9 SEPTEMBER 2000, pp. 1427-1434 Date

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Unique citation designation number. *See attached Kinds of U.S. Patent Documents. ** Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ** For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the social number of the patent document. ** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1* if possible. ** Applicant is to place a check mark here if English language Translation is attached.

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